



11-01-02

CPA/2750

**CONTINUED PROSECUTION APPLICATION (CPA)  
REQUEST TRANSMITTAL**

Submit an original and a duplicate for fee processing  
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX, if applicable:

☐ DUPLICATE

Address to:

Commissioner for Patents  
Box CPA  
Washington, D.C. 20231

Attorney Docket No.  
of Prior Application

216549

First Named Inventor

Forin et al.

Examiner Name

The T. Ho

Group Art Unit

2151

Express Mail Label No.

EV 065951455 US

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Technology Center 2100

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d) (continued prosecution application (CPA)) of prior application number 09/282,238 filed on March 31, 1999, entitled "A Highly Componentized System Architecture with Demand-Loading Namespace and Programming Model".

**NOTES**

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either (1) complete as defined by 37 CFR 1.51(b) or (2) the national stage of an international application in compliance with 37 USC 371. Effective May 29, 2000, a CPA may only be filed in a utility or plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d); but must be filed under 37 CFR 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the application under 35 USC 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or information concerning, the other application or applications in the file jacket.

**35 USC 120 STATEMENT:** No reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 USC 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

1. ☐ Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 CFR 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
  - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent is enclosed.
5. ☒ An Information Disclosure Statement (IDS) is enclosed, along with:
  - a. ☒ Form PTO-1449
  - b. ☒ Copies of Listed Documents
6. ☐ Applicant claims small entity status. See 37 CFR 1.27.

**APPLICATION FEES**

BASIC FEE				\$740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	35	-20=	15	x \$18.00
Independent Claims	7	-3=	4	x \$84.00
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable				+\$280.00
Subtotal of application fees =				\$1,346.00
Reduction by 50% for filing by small entity =				(\$ )

**Extension Fees**

- ☐ A one-month extension of time under 37 CFR 1.136 is requested. \$
- ☐ An extension for one month has already been secured and the fee paid therefor of \$110.00 is deducted from the total fee due for the total amount of extension now requested. \$
- ☒ No petition for an extension of time is necessary; to the extent that such petition is deemed necessary, a petition for a sufficient extension of time to render the present submission timely is requested. Please charge Deposit Account No. 12-1216 for the appropriate petition fee.

Subtotal of extension fees =

\$

TOTAL FEE =

\$1,346.00

11/01/2002 00000144 121216 09282238

03 FD-1036 740.00 CH  
02 FD-1202 270.00 CH  
03 FD-1201 336.00 CH

## CPA REQUEST TRANSMITTAL

Application No. 09/282,238  
Attorney Docket No. 216549

7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 12-1216:
- a. ☒ Filing fee \$
  - b. ☒ Any additional fees required under 37 CFR 1.16.
  - c. ☒ Fees required under 37 CFR 1.17.
8. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(b) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; fee under 37 CFR 1.17(i) is enclosed.)
9. ☐ A check in the amount of \$ \_\_\_\_\_ is enclosed.
10. Also enclosed:
- a. ☐ Receipt for Facsimile Transmitted CPA
  - b. ☒ Return Receipt Postcard (Should be specifically itemized)
  - c. ☐ Other:
11. ☐ Request & Certification Under 35 USC 122(b)(2)(B)(i) (Form PTO/SB/35 or its equivalent must be submitted with this application to prevent publication at 18 months)

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**NOTE:** The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

## 12. CORRESPONDENCE ADDRESS

☒ Customer Number: 23460**23460**

PATENT TRADEMARK OFFICE

☐ Mark Joy, Reg. No. 35,562  
Leydig, Voit & Mayer, Ltd.  
Two Prudential Plaza, Suite 4900  
180 North Stetson  
Chicago, Illinois 60601-6780  
(312) 616-5600 (telephone)  
(312) 616-5700 (facsimile)

## 13. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name

Mark Joy, Registration No. 35,562

Signature

Date

October 31, 2002

## Certificate of Mailing Under 37 CFR 1.10

I hereby certify that this Continued Prosecution Application (CPA) Request Transmittal and all accompanying documents are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" Service under 37 CFR 1.10 on the date indicated below and is addressed to: Commissioner for Patents, Box CPA, Washington, D.C. 20231.

*Tony Harris*

Name of Person Signing

*Tony Harris*

Signature

October 31, 2002

Date

## Certificate of Transmission Under 37 CFR 1.8

I hereby certify that this Continued Prosecution Application (CPA) Request Transmittal and Receipt for Facsimile Transmitted CPA are being facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below.

*K*

Name of Person Signing

Signature

October 31, 2002

Date